

TGW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14564.37.1

In Re Application Of: Mower et al.

OIP  
PATENT  
NOV 15 2005  
IAP48

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/612,754	07/01/2003	Humera N. Sheikh	022913	1615	5557

Title: **COMPOSITIONS AND METHODS USING MORINDA CITRIFOLIA**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

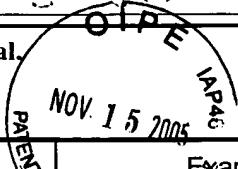
**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 14564.37.1

In Re Application of: Mower et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/612,754	07/01/2003	Hamera N. Sheikh	022913	1615	5557

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.

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(Date)

  
 Signature of Person Mailing Correspondence

John C. Stringham

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 Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
 Signature

Dated: Nov. 11, 2005

John C. Stringham, Reg. No. 40,831  
 WORKMAN NYDEGGER

Phone: (801) 533-9800

CC:



PATENT APPLICATION  
Docket No: 14564.37.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Mower et al.	)
		)
Serial No.:	10/612,754	)
		)
Filed:	July 1, 2003	)
		)
Title:	COMPOSITIONS AND METHODS USING MORINDA CITRIFOLIA	)
		)
Customer No.:	022,913	)
		)

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please find, pursuant to 37 C.F.R. § 1.98 (a) (1), enclosed Form PTO-1449 that contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56 (c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 37 C.F.R. §§ 102 or 103, the enclosed list of references is disclosed to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Pursuant to 37 C.F.R. § 1.97 (g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally, Applicant makes no representation regarding the completeness of this list nor represents that other art does not exist.

Applicant has listed publication dates on the attached Form PTO-1449 based on information that is presently available to the undersigned attorney of record. The listed publication dates, however, should not be construed as an admission that the references were actually published on the dates indicated and Applicant reserves the right to establish that the actual publication dates of any of these references.

Appl. No. : 10/612,754  
Filed : July 1, 2005

Applicant also reserves the right to establish patentability of the claimed invention over any of the listed references, and/or to prove that any of the listed references are not prior art, and/or to prove that any of the listed references do not enable or otherwise teach, suggest or disclose the claimed invention.

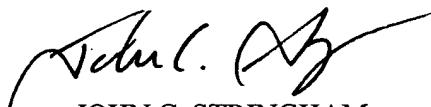
In accordance with 37 CFR §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is enclosed.

In accordance with 37 C.F.R. § 1.98 (c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56 (c) of each non-English reference, if any, are also enclosed.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency or credit any overpayment to our Deposit Account No. 23-3178 in connection with filing this document and any other documents filed herewith.

DATED this 11 day of November 2005.

Respectfully submitted,



JOHN C. STRINGHAM  
Registration No. 40, 831

WORKMAN NYDEGGER  
Phone: (801) 533-9800

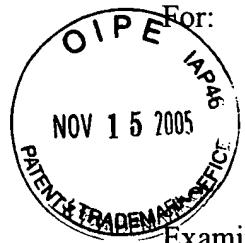
**CUSTOMER NO.: 022,913**

Applicant: Mower et al.  
 Serial No.: 10/612,754  
 Filing Date: July 1, 2003

Att'y Docket No.: 14564.37.1

For:

COMPOSITIONS AND METHODS USING MORINDA CITRIFOLIA

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name
_____ A1	6,416,806	07/09/2002	Zhou
_____ A2	6,413,558	07/02/2002	Weber et al.
_____ A3	6,124,442	09/26/2000	Zhou et al.
_____ A4	6,103,240	08/15/2000	Zhou
_____ A5	5,433,965	07/18/1995	Fischer et al.
_____ A6	5,433,961	07/18/1995	Lanner et al.
_____ A7	5,411,755	05/02/1995	Downton et al.
_____ A8	4,917,916	04/17/1990	Hirao et al.
_____ A9	4,870,059	09/26/1989	Mitsuhashi et al.
_____ A10	4,789,559	12/06/1988	Hirao et al.
_____ A11	4,758,660	07/19/1988	Takeuchi et al.
_____ A12	4,725,387	02/16/1988	Hirao et al.
_____ A13	4,717,765	01/05/1988	Hirao et al.
_____ A14	4,690,827	09/01/1987	Kupper et al.
_____ A15	4,408,041	10/04/1983	Hirao et al.

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Mower et al.  
 Serial No.: 10/612,754  
 Filing Date: July 1, 2003  
 For: COMPOSITIONS AND METHODS USING MORINDA CITRIFOLIA

Att'y Docket No.: 14564.37.1

U.S. Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>
<input type="checkbox"/> A16	4,084,010	04/11/1978	Takemoto et al.
<input type="checkbox"/> A17	3,011,897	12/05/1961	Grosvenor, Jr.
<input type="checkbox"/> A18	2,761,783	09/04/1956	Ferguson, Jr.

U.S. Patent Publications

Examiner <u>Initial*</u>	Publication <u>Number</u>	Publication <u>Date</u>	<u>Name</u>
<input type="checkbox"/> B1	US2002/0132037A1	09/19/2002	Zhou
<input type="checkbox"/> B2	US2002/0090406A1	07/11/2002	Su et al.
<input type="checkbox"/> B3	US2002/0068102A1	06/06/2002	Su et al.

Foreign Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Country or Patent Office</u>
<input type="checkbox"/> C1	6012074	07/05/1985	Japan
<input type="checkbox"/> C2	JP358116674A	07/11/1983	Japan
<input type="checkbox"/> C3	58071868	04/28/1983	Japan

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Att'y Docket No.: 14564.37.1

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
_____ C4	58-36368	03/03/1983	Japan
_____ C5	57-86266	05/29/1982	Japan
_____ C6	56-158072	12/05/1981	Japan
_____ C7	JP356117781A	09/16/1981	Japan
_____ C8	52-143257	11/29/1977	Japan
_____ C9	52-83986	7/13/1977	Japan
_____ C10	52-57366	05/11/1977	Japan

Other Documents

(including author, title, pertinent pages, etc.)

Examiner  
Initial\*

NONE

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Filing Date: July 1, 2003  
For: COMPOSITIONS AND METHODS USING MORINDA CITRIFOLIA

Att'y Docket No.: 14564.37.1

**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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